SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

	OMB Number: 3235-0287										
l	Estimated average burden										
1	hours per response:	0.5									

Instruction 1(b)).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19		nours per res	sponse.	0.5		
			or Section 30(h) of the Investment Company Act of 1940						
1. Name and Add		g Person [*]	2. Issuer Name and Ticker or Trading Symbol RATTLER MIDSTREAM LP [RTLR]		ationship of Re k all applicable)	Reporting Person(s) to Issuer ble)		r	
<u>Stice Travis D.</u>				X	Director		10% Owne	r	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	- x	Officer (give below)	ve title	Other (specify below)	cify	
500 WEST TE	EXAS		05/28/2020		Chief Executive Officer				
SUITE 1225									
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicat Line)				
MIDLAND	ТХ	79701		X	Form filed by	y One Repo	orting Person		
,	17	/3/01			Form filed by Person	y More thar	n One Reportir	ıg	
(City)	(State)	(Zip)			1 010011				
		Table I - Non-De	erivative Securities Acquired, Disposed of, or Ben	eficially	v Owned				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Da if any (Month/Day/Y		on Date, Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported		Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common units representing limited partner interests	05/28/2020		F		8,995 ⁽¹⁾	D	\$8.87	195,986	I	By Stice Investments, Ltd. ⁽²⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The issuer withheld common units that would have otherwise been issuable to the reporting person to satisfy the issuer's tax withholding obligations in connection with the vesting and settlement of one-fifth of the common units granted on May 28, 2019. The number of common units withheld was determined based on the closing price per unit of the issuer's common units on May 27, 2020. 2. These securities are held by Stice Investments, Ltd., which is managed by Stice Management, LLC, its general partner. Mr. Stice and his spouse hold 100% of the membership interests in Stice Management, LLC, of which Mr. Stice is the manager.

Remarks:

/s/ Teresa L. Dick, as attorney-06/01/2020 in-fact for Travis D. Stice

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See